

No.: ...07/2023/TTr-HĐQT

Ho Chi Minh City, 20 April 2023

**SUBMISSION FOR APPROVAL**

**The plan on handing the operational risk and financial reserve**

**TO: THE ANNUAL GENERAL MEETING OF SHAREHOLDERS  
OF PHU HUNG SECURITIES CORPORATION**

- Pursuant to Circular No. 146/2014/TT-BTC dated 6 October 2014;
- Pursuant to Circular No. 114/2021/TT-BTC dated 17 December 2021;
- According to the Audited financial statements of 2022, the balance of Provision fund for financial and professional risks is VND 12,064,998,139.

The Board of Directors of Phu Hung Securities Corporation submits to The Annual General Meeting of Shareholders to pass the refund of Provision fund for financial and professional risks is VND 12,064,998,139 to undistributed profit of the Company and the authorization to BOD to perform related works.

Please kindly consider and approve.

**ON BEHALF OF BOARD OF DIRECTORS  
CHAIRMAN**



**ALBERT KWANG-CHIN TING**